12-30-03

PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	·		•	<u> </u>		
	100417741		Applic	ation Number	10/663,1	91
TRANSMITTAL FORM		Filing	Date	Septemb	er 16, 2003	
		First N	amed Inventor	Abhijit De	9	
(to be used for all correspondence after initial filing)		Art Un	it	1731		
			Exami	ner Name	-	
Total Number of Page	s in This Submissio	n	Attorn	ey Docket Number	006420.0	00001
		ENCL	OSURES	(check all that apply)		
Fee Transmittal F	Form		ment Pap A <i>pplication</i>		After A	Allowance Communication to
Fee Attached	I	☐ Drawin	g(s)		Appea Appea	I Communication to Board of ils and Interferences
Amendment / Res	sponse	Licensi	ng-relate	d Papers		I Communication to Group I Notice, Brief, Reply Brief)
After Final		Petition	1		1	etary Information
Affidavits/dec	claration(s)	. —	n to Conv onal App	** *	☐ Status	Letter
Extension of Time	e Request			ey, Revocation espondence Address		Enclosure(s)
Express Abandor	Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund			O SB 08A with cited erences attached
	osure Statement	CD, Nu	, Number of CD(s)			
Certified Copy of Document(s)	Priority	Rema	Rks Being filed via Express Mail No.: EL941345495US			
Response to Miss			The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account 19-0733.			
Response to Parts under 3 1.52 or 1.53						
	SIGNA	ATURE OF	APPLICA	ANT, ATTORNEY, C	R AGENT	
Firm <i>or</i> Individual name	Robert H. Resis					
Signature	Signature					
Date	December 29, 2003					
		CEI	RTIFICA	TE OF MAILING	·	
	nt postage as first	class mail in				d with the United States Postal for Patents, P.O. Box 1450,
Typed or printed name	ne					
Signature					Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 006420.00001)

In the	Application of:	)	
	Abhijit De	)	4 = 0.4
Serial	No.: 10/663,191	) Group Art Unit	: 1731
Filed:	September 16, 2003	) Examiner: (TB	A)
For:	Gum Based Chewing Product and Process for Preparing the Same	) ) )	

#### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A copy of the references cited below are enclosed along with a completed PTO/SB/08A form.

#### **U.S. Patent Documents**

	Patent No.	Inventor	<b>Publication Date</b>
1.	865,026	Carleton Ellis	09-03-1907
2.	904,521	Carleton Ellis	11-24-1908
3.	3,845,217	Ove Birger Ferno et al.	10-29-1974
4.	3,877,468	Lichtneckert et al.	04-15-1975
5.	4,093,752	Withycombe et al.	06-06-1978

Banner & Witcoff, Ltd. Ten S. Wacker Drive, Suite 3000 Chicago, Illinois 60606 Telephone: 312/463-5000

	Patent No.	<b>Inventor</b>	<b>Publication Date</b>
6.	4,317,837	Kehoe et al.	03-02-1982
7.	4,802,498	Ogren	02-07-1989
8.	5,488,962	Perfetti	02-06-1996
9.	5,488,976	Lorenz et al.	02-06-1996

#### Foreign Patent Document(s)

	Patent No.	Country	Publication Date
1.	187110	India	02-02-2002

In accordance with MPEP §§ 609 and 707.05(b), it is requested that the documents cited be given thorough consideration and that they be cited of record in the prosecution history of the present application by initialing by the Examiner on Form PTO/SB/08A, so that they will appear on the face of the patent issuing on the present application.

The present disclosure statement is being submitted in compliance with 37 C.F.R. §1.56 as an Examiner might consider the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of such documents is not to be construed as an admission that such documents are necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with and in the course of such search, will review for relevance the documents cited on the attached form even if not initialed.

Banner & Witcoff, Ltd. Ten S. Wacker Drive, Suite 3000 Chicago, Illinois 60606 Telephone: 312/463-5000 Early and favorable consideration is earnestly solicited.

Respectfully submitted, BANNER & WITCOFF, LTD.

Dated: December 29, 2003

By:

Robert H. Resis Reg. No. 32,168

Direct Dial (312) 463-5405

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sylpartute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

	Complete if Kn wn	
Application Number	10/663,191	-
Filing Date	09/16/2003	
First Named Inventor	Abhijit De	
Art Unit	1731	
Examiner Name		
Attorney Docket Number	006420.00001	

	U.S. PATENT DOCUMENTS				
Examiner Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
		US-856026	09-03-1907	Carleton Ellis	<del>- "</del>
		US- 904521	11-24-1908	Carleton Ellis	
		US- 3845217	10-29-1974	Ove Birger Ferno et al	
	İ	US- 3877468	04-15-1975	Lichtneckert et al	
		US- 4093752	06-06-1978	Withycombe et al	
• •		US- 4317837	03-02-1982	Kehoe et al	
		US- 4802498	02-07-1989	Ogren	
		US- 5488962	02-06-1996	Perfetti	
		US- 5488976	02-06-1996	Lorenz et al	
		US-			

	FOREIGN PATENT DOCUMENTS					
F	034	Foreign Patent Document	D. L. Francis	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	t T <sup>6</sup>
	India	187110	02-02-2002	Dr. Abhijit De		

Examiner	Date	
Signature	Considered	
9	*	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete application form to the USPTO. Time will vary depending upon the

individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Certificate of Mailing by Express Mail under 37 CFR 1.10

Express Mail No.: <u>EL941345495US</u>

Date Deposited: December 29, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above in an envelope addressed to:

> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

person actually depositing)

Application Number:

10/663/191

Filing Date:

September 16, 2003

First Named Inventor:

Abhijit De

Attorney Docket No.

06420.00001

#### **ENCLOSING:**

Χ Transmittal (1 page) in duplicate

Χ Information Disclosure Statement (3 pages)

X PTO SB 08A with cited references attached

Return Receipt Post Card

Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.